

1 **The HIV-1 gp120 CD4-bound conformation is preferentially targeted by ADCC-**
2 **mediating antibodies in sera from HIV-1-infected individuals.**

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39 **Abstract**

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41 Recent studies have linked antibody Fc-mediated effector functions with
42 protection or control of HIV-1 and SIV infections. Interestingly, the presence of
43 antibodies with potent antibody-dependent cellular cytotoxicity (ADCC) activity in the
44 Thai RV144 vaccine trial was suggested to correlate with a decreased HIV-1 acquisition
45 risk. These antibodies were recently found to recognize HIV envelope (Env) epitopes
46 exposed upon Env – CD4 interaction. CD4 downregulation by Nef and Vpu, as well as
47 Vpu-mediated BST-2 antagonism, were reported to modulate exposure of those CD4-
48 induced HIV-1 Env epitopes and therefore were proposed to play a role in reducing the
49 susceptibility of infected cells to ADCC mediated by this class of antibodies. Here we
50 report a high prevalence of antibodies recognizing CD4-induced HIV-1 Env epitopes in
51 sera from HIV-1 infected individuals, which correlated with their ability to mediate
52 ADCC responses against HIV-1 infected cells exposing these Env epitopes at the cell
53 surface. Furthermore, our results indicate that Env variable regions V1, V2, V3 and V5
54 do not represent a major determinant for ADCC responses mediated by sera from HIV-1-
55 infected individuals. Altogether, these findings suggest that HIV-1 tightly controls the
56 exposure of certain Env epitopes at the surface of infected cells in order to prevent
57 elimination by Fc-effector functions.

58 **Importance**

59

60 Here we identified a particular conformation of HIV-1 Env that is specifically targeted by
61 ADCC-mediating antibodies present in sera from HIV-1-infected individuals. This
62 observation suggests that HIV-1 developed sophisticated mechanisms to minimize the
63 exposure of these epitopes at the surface of infected cells.

64 **Introduction**

65

66 The IgG class of antibodies (Abs) can mediate cellular cytotoxic effector
67 functions such as Ab-dependent cell-mediated cytotoxicity (ADCC), viral inhibition
68 (ADCVI) or phagocytosis (ADCP). These immune responses are driven by the
69 engagement of the Ab Fc region with a family of proteins, known as Fc γ receptors
70 (Fc γ R), at the surface of effector immune cells (1). In the case of ADCC, crosslinking of
71 the Fc γ RIII (CD16) leads to the activation of the ITAM-containing associated subunits
72 CD3 ζ and/or Fc ϵ RI γ which promotes the effector cells (e.g. NK cells, macrophages or
73 neutrophils) to perform a cytotoxic attack on the target cell (2, 3). Interestingly, there is
74 increasing evidence that ADCC plays a role in protecting from or controlling different
75 viral infections (4-6). Accordingly, Fc-mediated effector functions were reported to
76 correlate with decreased viral loads or rate of disease progression in both HIV-1 and SIV
77 infections (7-14). Additionally, it was recently suggested that ADCC could apply a
78 significant immune pressure on HIV-1 (15), which further supports a role for this effector
79 function *in vivo*. Analysis of the correlates of protection in the RV144 vaccine trial
80 suggested that increased ADCC activity was linked with decreased HIV-1 acquisition
81 (16). Interestingly, Abs with potent ADCC activity were isolated from some RV144
82 vaccinees (17). However, little is known regarding the cellular and viral determinants that
83 govern the susceptibility of HIV-1-infected cells to ADCC killing by Abs normally
84 elicited during the course of HIV-1 infection.

85

86 We recently reported that HIV-1 envelope (Env) interaction with the CD4
87 receptor at the surface of infected cells was critical for efficient ADCC activity mediated
88 by monoclonal Abs (mAbs) targeting CD4-induced (CD4i) Env epitopes (18). Our initial
89 findings were recently corroborated by others (19). Importantly, we reported that multiple
90 mAbs with potent ADCC activity isolated from RV144 vaccinees also recognized Env
91 CD4i epitopes in a manner similar to the well-characterized inner domain recognizing
92 A32 Ab (18, 20). Studies by other groups suggested that Abs recognizing variable region
93 1 and 2 (V1V2) elicited in some RV144 vaccinees could mediate Fc effector functions
94 (21, 22). However, little is known as to the relative contribution of inner domain CD4i to
95 the overall Fc-mediated effector functions present in sera from HIV-1-infected
96 individuals.
97

98 **Materials and Methods**

99 **Cells**

100 293T human embryonic kidney and HOS cell lines (obtained from ATCC and
101 NIH AIDS Research and Reference Reagent Program, respectively) were grown at 37 °C
102 and 5 % CO₂ in Dulbecco's modified Eagle's medium (Invitrogen) containing 10 % fetal
103 bovine serum (Sigma) and 100 µg/ml of penicillin-streptomycin (Wysent). CEM.NKr
104 cells (obtained from Dr. David Evans, Harvard Medical School) were grown at 37 °C and
105 5 % CO₂ in RPMI-1640 (Invitrogen) containing 10 % fetal bovine serum and 100 µg/ml
106 primocine (Invitrogen).

107

108 Ficoll density gradient isolated and cryopreserved human PBMCs from healthy
109 donors were thawed and kept at 37 °C and 5 % CO₂ in RPMI-1640 containing 10 % FBS
110 and 100 µg/ml penicillin-streptomycin for at least 16 h before subsequent experiments.

111

112 **Plasmids and site-directed mutagenesis**

113 Mutations were introduced into the previously described pNL43-ADA-
114 GFP.IRES.Nef proviral vector (23). To generate *env* mutants, the Sall – BamHI fragment
115 of pNL43-ADA-GFP.IRES.Nef was subcloned in a pUC19 intermediate before being
116 subjected to site-directed mutagenesis using the QuickChange II XL protocol
117 (Stratagene). The mutated insert was then cloned back into pNL43-ADA-GFP.IRES.Nef.
118 Mutations in *nef* were introduced by a two-step PCR strategy using primers having 18
119 nucleotides overlaps and cloned back into the proviral construct using XhoI and NcoI
120 restriction sites. All mutations were confirmed by Sanger DNA sequencing. The codon-

121 optimized pcDNA3.1-HIV-1_{YU2} Δ V1V2V3V5 expression construct was made by
122 replacing the sequence encoding 124-198 from the V1/V2 loop with a sequence encoding
123 a GG linker and the sequence encoding 302-323 from the V3 loop with a sequence
124 encoding a GGSGSG linker (24). The Δ V5 was made by replacing residues 460-465 by a
125 GSG linker into pcDNA3.1-HIV-1_{YU2} Δ V1V2V3.

126

127 **Sera from HIV-infected individuals**

128 Informed consent was obtained from all study participants (the Montreal Primary
129 HIV Infection Cohort (25, 26) and the Canadian Cohort of HIV Infected Slow
130 Progressors (27-29)) and research adhered to the ethical guidelines of CRCHUM. Sera
131 was collected during Ficoll isolation of PBMCs and conserved at -80 °C. Sera aliquots
132 were heat-inactivated for 30 min at 56 °C and stored at 4 °C until ready to use in
133 subsequent experiments. A random number generator (GraphPad QuickCalcs) was used
134 to randomly select a number of sera from each cohort.

135

136 **Purification of recombinant HIV-1 gp120 glycoproteins**

137 FreeStyle 293F cells (Invitrogen) were grown in FreeStyle 293F medium
138 (Invitrogen) to a density of 1×10^6 cells / ml at 37 °C with 8 % CO₂ with regular
139 agitation (125 rpm). Cells were transfected with a pcDNA3.1 plasmid encoding codon-
140 optimized His₆-tagged wild-type or mutant HIV-1 YU2 gp120 using the 293Fectin
141 reagent, as directed by the manufacturer (Invitrogen). One week later, cells were pelleted
142 and discarded. The supernatants were filtered (0.22- μ m-pore-size filter) (Corning), and
143 the gp120 glycoproteins were purified by nickel affinity columns, according to

144 manufacturer instructions (Invitrogen). The gp120 preparations were dialyzed against
145 PBS and stored in aliquots at -80°C . To assess purity, recombinant proteins were loaded
146 on SDS-PAGE polyacrylamide gels and stained with Coomassie blue.

147

148 **Cell-based ELISA**

149 Detection of trimeric Env at the surface of HOS cells was performed by cell-based
150 ELISA, as previously described (18, 30, 31). Briefly, HOS cells were seeded in 96-well
151 plates (2×10^4 cells per well) and transfected the next day with a cytoplasmic-tail deleted
152 HIV-1 Env_{YU2} variant alone or together with a human CD4 expressor using standard
153 polyethylenimine transfection method. Two days later, transfected cells were washed and
154 then incubated with 1:1000 dilutions of sera from HIV-infected or $1 \mu\text{g}/\text{mL}$ of relevant
155 mAbs. Env-specific IgGs were detected using an HRP-conjugated anti-human IgG-
156 specific secondary Ab (Pierce) with a TriStar LB 941 luminometer (Berthold
157 Technologies).

158

159 **Viral productions and infections**

160 Vesicular stomatitis virus G (VSVG)-pseudotyped NL4.3 GFP-encoding ADA-
161 based viruses were produced as previously described (18). Briefly, our panel of pNL4.3-
162 GFP-ADA-based HIV-1 proviral vectors and VSVG-encoding plasmid were co-
163 transfected in 293T cells by standard calcium phosphate transfection. Two days after
164 transfection, cell supernatants were harvested, clarified by slow-speed centrifugation (5
165 min at 1200 rpm) and concentrated by ultracentrifugation for 1 h at 4°C at 143,260 g
166 over a 20 % sucrose cushion. Pellets were harvested in fresh RPMI, and aliquots were

167 stored at -80 °C until use. Viral preparations were normalized before infection according
168 to reverse transcriptase activity or using a standard TCID50 procedure using TZM-bl
169 cells (32). Viruses were then used to infect approximately 20 % to 30 % of CEM.NKr
170 cells by spin infection at 800 g for 1 h in 96-well plates at 25 °C.

171

172 **Flow cytometry analysis of cell-surface staining, ADCC responses and binding**
173 **competition assays.**

174 For cell surface staining, infected or mock-infected CEM.NKr cells were
175 incubated for 20 min at room temperature 48 h post-infection with 1 µg/ml OKT4 (anti-
176 CD4 Ab, 14-0048-82, eBiosciences), 2 µg/ml BST-2 (sc-99191, Santa Cruz), 1 µg/ml
177 2G12 (AB002, Polymun), 1 µg/ml A32 Ab (kindly given by Dr J. Robinson) or a 1:1000
178 final concentration of serum from participants in PBS. Cells were then washed once with
179 PBS and stained with 1 µg/ml goat anti-mouse and anti-rabbit (Alexa Fluor-594,
180 Invitrogen) or anti-human (Alexa Fluor-647, Invitrogen) secondary Abs for 15 min in
181 PBS. After one more PBS washing, cells were fixed in a 2 % PBS-formaldehyde
182 solution.

183

184 Measurement of serum- and A32-mediated ADCC was performed with a
185 previously described assay (18, 33). Briefly, CEM.NKr infected cells were stained with
186 viability (AquaVivid; Invitrogen) and cellular (cell proliferation dye eFluor670;
187 eBiosciences) markers and used as target cells. PBMC effectors cells, stained with
188 another cellular marker (cell proliferation dye eFluor450; eBiosciences), were then mixed
189 at an effector/target (E/T) ratio of 10:1 in 96-well V-bottom plates (Corning). A 1:1000

190 final concentration of serum or 5 µg/ml of the A32 mAb was added to appropriate wells.
191 Co-cultures were centrifuged for 1 min at 300 g and incubated at 37 °C for 5-6 h before
192 being fixed in a 2 % PBS-formaldehyde solution containing 5x10⁴/ml flow cytometry
193 particles (AccuCount Blank Particles, 5.3 µm; Spherotech). Samples were analyzed on an
194 LSRII cytometer (BD Biosciences) and acquisition was set to acquire 1000 particles,
195 which allows the calculation of relative cell counts. Data analysis was performed using
196 FlowJo vX.0.7 (Tree Star). The percentage of cytotoxicity was calculated with the
197 following formula: (relative count of GFP⁺ cells in Targets plus Effectors) - (relative
198 count of GFP⁺ cells in Targets plus Effectors plus A32 or serum) / (relative count of
199 GFP⁺ cells in Targets) according to our previously described gating strategy (18, 33).

200

201 For serum adsorption and gp120 competition assays, sera dilutions from HIV-1
202 infected individuals were pre-incubated for 30 min at room temperature with purified
203 soluble D368R gp120 dV1V2V3V5 at a concentration of 83.3pmol / µl of serum. This
204 concentration was determined by assaying the dose-dependent reduction in gp120-coated
205 cells staining (Suppl. Figure 4), as previously reported (33).

206

207 **Results**

208

209 **Env – CD4 interaction enhances recognition of HIV-1-infected cells by sera from**
210 **HIV-1-infected individuals.**

211 HIV-1 accessory proteins Nef and Vpu are known to modulate cell-surface levels
212 of CD4 (34, 35). In addition to its role in CD4 degradation, Vpu also antagonizes a
213 restriction factor, Tetherin/BST-2, which normally inhibits retroviral release (36, 37).
214 Viruses lacking Vpu remain trapped at the cell surface, resulting in an accumulation of
215 exposed Env (18, 19). Therefore, Nef and Vpu can indirectly modulate Env – CD4
216 interaction at the surface of infected cells through CD4 and BST-2 downregulation (18).
217 Accordingly, we recently reported that cells infected with viruses defective for both Nef
218 and Vpu present enhanced levels of CD4 and Env at the cell-surface, resulting in the
219 exposure of Env CD4i epitopes (18). To address whether these epitopes were recognized
220 at the surface of infected cells by sera from HIV-1-infected individuals, we infected
221 CEM.NKr cells with a panel of NL4.3-GFP ADA-Env encoding either wild-type (wt) or
222 defective Nef and Vpu accessory proteins as previously described (18). Two days post-
223 infection, infected cells were stained with sera from HIV-infected individuals and then
224 fluorescently labeled (Figure 1). Interestingly, as we previously reported for the ADCC-
225 mediating A32 Ab (18), we observed that a threshold of both CD4 and Env must be
226 reached at the cell surface and, in addition, Env must be able to engage with CD4 in order
227 for Env to be detected by A32 or sera from HIV-1-infected individuals (Figures 1, 2 and
228 supplemental Figure 1 and 2). The majority of the sera recognized more efficiently cells
229 infected with a virus lacking Nef and Vpu than its wild-type counterpart. Cells infected

230 with viruses lacking Nef and Vpu (i.e., presenting high levels of CD4 and Env at the cell
231 surface, Figure 2A and Suppl. Figure 2) but where the ability of Env to interact with CD4
232 was dramatically decreased by a CD4-binding site mutation (D368R) (38, 39) were
233 poorly recognized by sera from HIV-1-infected individuals. Of note, decreased
234 recognition of the D368R Env variant by sera from HIV-1-infected individuals was not
235 due to decreased levels of CD4 and/or Env at the surface of HIV-1-*nef-vpu*-infected
236 cells (Suppl. Figure 2).

237

238 The impact of Env – CD4 interaction on the binding of sera IgGs from 163 HIV-
239 infected individuals was also evaluated by cell-based ELISA, as previously-described
240 (18, 31, 40). Briefly, HOS cells were transfected with a cytoplasmic-tail deleted HIV-1
241 Env_{YU2} variant alone or with a human CD4 expressor, as reported (18, 40). Two days
242 later, transfected cells were washed and then incubated with 1:1000 dilutions of sera from
243 HIV-infected individuals. Env-specific IgGs were detected using an anti-human IgG-
244 specific secondary Ab. Interestingly, recognition of the Env trimer by sera from all the
245 clinical categories tested was significantly increased by co-expression of CD4 while the
246 outer-domain recognizing Ab 2G12 was not affected (Suppl. Figure 3), suggesting that
247 sera from HIV-1-infected individuals contain a significant portion of CD4i Abs, as
248 previously proposed (41).

249

250 **The ability of sera from HIV-infected individuals to mediate ADCC requires Env –**
251 **CD4 interaction**

252 We previously described that Env – CD4 interaction modulates susceptibility of
253 HIV-1-infected cells to ADCC by CD4i Abs (18). However, whether this was also the
254 case for sera from a large number of HIV-1-infected individuals remains unknown. We
255 therefore sought to determine if the overall ADCC activity potential in sera from HIV-
256 infected individuals would be associated with efficient Env – CD4 interaction. Using
257 infected CEM.NKr cells as above, we measured serum-mediated ADCC with our
258 previously-described FACS-based ADCC assay (18, 33). We thus determined the ability
259 of 48 randomly-chosen sera (comprising those tested in Figure 1B) from HIV-infected
260 individuals to mediate ADCC against infected cells (Figure 3). Strikingly, while cells
261 infected with wild-type (wt) virus were slightly more susceptible to ADCC killing than
262 mock-infected cells, those infected with a virus lacking Nef and Vpu were dramatically
263 more susceptible to ADCC (Figure 3A). Interestingly, the slight increase in ADCC killing
264 of cells lacking Nef was similar to that obtained with cells infected with a Nef variant
265 (L166A-L168A) unable to downregulate CD4 from the cell surface (34), further stressing
266 the importance of CD4 downregulation to protect infected cells from ADCC. However,
267 the increase in ADCC observed with cells lacking Nef or Vpu alone was significantly
268 lower than ADCC levels reached with cells infected with viruses lacking both accessory
269 proteins. Moreover, the ADCC potential of different sera correlated with their ability to
270 recognize Env at the surface of cells infected with viruses lacking both Nef and Vpu
271 (Figure 3B), suggesting that efficient recognition of HIV-1-infected cells by sera is
272 required for their ability to mediate ADCC. Finally, introducing the CD4-binding site

273 D368R mutation in Env dramatically decreased the sensitivity of infected cells to killing
274 by ADCC (Figure 3A and C), further stressing the importance of Env-CD4 interaction for
275 exposure of epitopes recognized by ADCC-mediating Abs normally elicited in the course
276 of HIV-1 infection.

277

278 **Antibodies targeting the V1V2V3 and V5 gp120 variable regions do not play a**
279 **major role on HIV+-sera-mediated ADCC**

280 Recent reports indicate that various vaccine-elicited Abs targeting conserved
281 (CD4i) or variable epitopes (V1V2) as well as rare broadly-neutralizing Abs can mediate
282 ADCC against HIV-1 infected cells (17, 18, 21, 22, 42). To investigate whether, aside
283 from gp120 CD4-induced antibodies, epitopes recognized by anti-gp41, variable regions
284 or quaternary-dependent antibodies contributed to sera-mediated ADCC responses, we
285 designed an antibody competition assay using purified, soluble gp120 lacking variable
286 regions V1, V2, V3 and V5 while presenting a D368R mutation (Δ V1V2V3V5 D368R)
287 making it unable to bind cell-surface CD4 (33). Of note, the variable region 4 (V4) could
288 not be removed without impacting the structural integrity of the protein (data not shown
289 and(43)). Pre-incubation of sera with either full-length or Δ V1V2V3V5 D368R gp120
290 recombinant proteins captured anti-Env antibodies and prevented the recognition of
291 gp120-coated cells by sera Abs (Suppl. Figure 4). Interestingly, this was also observed at
292 the surface of HIV-1-infected cells where competition with the Δ V1V2V3V5
293 recombinant gp120 almost completely abrogated cell-surface staining of Nef-Vpu-
294 infected cells (Figure 4A) and correlated with decreased ADCC activity (Figure 4B),
295 indicating that a recombinant protein lacking the V1V2V3V5 variable regions is

296 sufficient to absorb the majority of ADCC activity present in sera from HIV-1-infected
297 individuals.
298

299 **Discussion**

300

301 Renewed interest on Fc-mediated functions such as ADCC stems in part from
302 correlations in controlling or preventing HIV-1 infection. Fc-mediated effector functions
303 were found to inversely correlate with viral loads or decreased disease progression in
304 SIV-infected macaques (7-9) as well as in HIV-1-infected individuals (10-14).
305 Furthermore, analysis of immune protection correlates in the recent RV144 vaccine trial
306 suggested that high levels of ADCC mediating Abs correlated with decreased HIV-1
307 acquisition when combined with low plasma IgA anti-Env Ab levels (16, 44) thus
308 warranting further studies on the viral determinants modulating ADCC.

309

310 Recent observations suggested that Vpu antagonism of BST2 was sufficient to
311 protect HIV-infected cells from ADCC (45, 46). In this study, we found no significant
312 increase in the susceptibility of cells infected with a virus lacking Vpu to sera-mediated
313 ADCC (Figure 3). However, in agreement with previous work done with CD4i Abs (18),
314 decreasing Env – CD4 interaction by introducing an Env CD4 binding site mutation
315 (D368R) in the context of a virus lacking both Nef and Vpu was sufficient to
316 dramatically diminish killing of infected cells by sera-mediated ADCC (Figure 3C). This
317 is also supported by our soluble gp120 competition assay suggesting that gp120 CD4i
318 Abs represent the major determinant of sera-mediated ADCC in HIV-1-infected
319 individuals (Figure 4) since this recombinant protein could not absorb Abs directed
320 against the gp41, quaternary-dependent Abs or V1V2V3 and V5 variable regions. In this
321 study we only tested sera from HIV-1-infected individuals (not from vaccinees);

322 therefore, we do not exclude the possibility that additional types of ADCC-mediating Abs
323 such as anti-V1V2, elicited through vaccination, could have therapeutic utility and/or
324 help in preventing infection.

325 Furthermore, stratifying the patients sera in clinical disease progression rates
326 (classic, rapid progressors or long-term non-progressors, as described in (25, 26)) did not
327 reveal any significant differences between them as to the requirement of Env – CD4
328 interaction to promote sera-mediated ADCC against infected cells (Suppl. Table I).

329

330 Recent observations suggested that the angle of approach of the Ab is important
331 in order to mediate ADCC (47). Whether anti-gp120 CD4i Abs bind Env with an angle of
332 approach that promotes the recruitment of Fc-bearing effector cells is unclear but
333 warrants further studies.

334

335 Altogether, these data suggest that ADCC-mediating Abs are elicited in the course
336 of natural HIV-1 infection and that a significant proportion of them require Env to
337 interact with CD4 in order to be effective at mediating ADCC. This supports a major role
338 of CD4i Env epitopes in the susceptibility of infected cells to ADCC mediated by sera
339 from HIV-1-infected individuals. Our data suggest that HIV-1, via Nef and Vpu, tightly
340 controls cell-surface levels of CD4 and Env in order to limit the exposure of potential
341 epitopes recognized by ADCC-mediating Abs elicited in the course of natural HIV-1
342 infection. Therefore, targeting Vpu and Nef ability to downregulate CD4 and BST-2 or
343 strategies aimed at modifying Env conformation to expose CD4i epitopes could

344 potentially render HIV-1-infected cells susceptible to ADCC and thus have therapeutic
345 utility.
346
347

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360

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617

618 **Figure Legends**

619

620 **Figure 1. Env – CD4 interaction is required for efficient recognition of infected cells**

621 **by sera from HIV-1-infected individuals.** CEM.NKr cells infected with a panel of
622 VSV-G pseudotyped NL4.3 GFP ADA expressing wild-type (wt) or a CD4-binding site
623 (D368R) Env variant, lacking Nef (Nef-) or expressing a Nef variant (L166A-L168A)
624 defective for CD4-downregulation (34) (NefAA), or lacking Vpu (Vpu-) or both Nef and
625 Vpu (Nef-Vpu-) were stained at 48 h post-infection with sera from 30 HIV-infected
626 individuals and then fluorescently labeled with an Alexa-Fluor-647 conjugated anti-
627 human IgG secondary Ab. Shown in (A) are histograms depicting representative staining
628 of infected (GFP+) cells by serum from one HIV- and one HIV+ donor, with panel (B)
629 presenting the fold increase of staining relative to mock for all tested sera. Data shown
630 are the results of two different experiments and error bars depict the SEM. Statistical
631 significance was tested using paired one-way ANOVAs (* p<0.05, ** p<0.01, ***
632 p<0.001, **** p<0.0001).

633

634 **Figure 2. Env – CD4 interaction modulates the exposure of Env ADCC-mediating**

635 **A32 epitope at the surface of infected cells.** CEM.NKr cells infected with a panel of
636 VSV-G pseudotyped NL4.3 GFP ADA expressing wild-type (wt) or a CD4-binding site
637 (D368R) Env variant, lacking Nef (Nef-) or expressing a Nef variant (L166A-L168A)
638 defective for CD4-downregulation (34) (NefAA), or lacking Vpu (Vpu-) or both Nef and
639 Vpu (Nef-Vpu-) were stained at 48h post-infection for surface CD4 levels (A) or Env
640 A32 epitope exposure (B). Data shown are the results of at least three different

641 experiments and error bars depict the SEM. Statistical significance was tested using
642 paired one-way ANOVAs (* $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$, **** $p < 0.0001$).

643

644 **Figure 3. Env – CD4 interaction modulates susceptibility of infected cells to ADCC**
645 **killing mediated by sera from HIV-1-infected individuals.** CEM.NKr cells infected
646 with a panel of VSV-G pseudotyped NL4.3 GFP ADA expressing wild-type (wt) or a
647 CD4-binding site (D368R) Env variant, lacking Nef (Nef-) or expressing a Nef variant
648 (L166A-L168A) defective for CD4-downregulation (34) (NefAA), or lacking Vpu (Vpu-)
649 or both Nef and Vpu (Nef-Vpu-) were used at 48h post-infection as target cells in our
650 FACS-based ADCC assay (18) to determine their susceptibility to sera from HIV-1-
651 infected individuals to-mediate cell lysis by PBMCs from healthy donors (A). Data
652 shown are the results of three different experiments, with median \pm interquartile range.
653 (B) A positive correlation was observed between the staining intensity of sera from HIV-
654 1-infected individuals on Nef-Vpu- infected cells and their ability to mediate ADCC.
655 Panel (C) shows paired values of ADCC mediated by sera from HIV-1-infected
656 individuals to cells infected by HIV-1 viruses lacking both Nef and Vpu and encoding a
657 wt or D368R Env variant. Statistical significance was tested using (A) paired one-way
658 ANOVAs or (B) paired t-test (* $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$, **** $p < 0.0001$).

659

660 **Figure 4. A recombinant gp120 protein lacking V1V2V3 and V5 variable regions is**
661 **sufficient to adsorb the majority of ADCC activity present in sera from HIV+-**
662 **infected individuals.**

663 CEM.NKr cells infected with wt or Nef-Vpu- VSV-G pseudotyped NL4.3 GFP ADA
664 were used at 48h post-infection for surface staining (A) or FACS-based ADCC assay (B)
665 using sera from HIV-1 infected individuals pre-incubated in absence or presence of 83.3
666 pmol Δ V1V2V3V5 D368R / μ l sera for 30 min at room temperature. Data shown are
667 representative of at least two different experiments. Statistical significance was tested
668 using paired one-way ANOVAs (** $p < 0.01$, ns, not significant).







